



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )

Niels Gebauer et al. )

Serial No. 09/189,365 )

Filing Date: 11/09/98 )

For: DATA MANAGEMENT SYSTEM )  
HAVING REMOTE TERMINAL )  
ACCESS UTILIZING )  
SECURITY MANAGEMENT BY )  
TABLE PROFILING )  
(Amended) )

Examiner G. Robinson

Group Art Unit 2177

Docket No. 33012/253/101

SUPPLEMENTAL AMENDMENT

**RECEIVED**

JUN 25 2004

Technology Center 2100

Mail Stop Amendment  
Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, Box 1450, Alexandria, VA, 22313-1450 on this 17<sup>th</sup> day of June, 2004.

By Melissa A. Abeldgaard  
Melissa A. Abeldgaard

BEST AVAILABLE COPY

Adjustment date: 09/23/2004 SDIRETA1  
07/29/2004 AWHITE1 00000001 140620 09189365

01 FC:1252 420.00 CR

1

07/29/2004 AWHITE1 00000001 140620 09189365

01 FC:1252 420.00 DA

**BEST AVAILABLE COPY**

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Niels Gebauer et al.

Serial No.: 09/189,365

Examiner: G. Robinson

Filed : November 9, 1998

Group Art Unit: 2177

For : DATA MANAGEMENT SYSTEM HAVING REMOTE TERMINAL ACCESS  
UTILIZING SECURITY MANAGEMENT BY TABLE PROFILING (Amended)

Docket No.: 33012/253/101

---

REQUEST FOR REFUND

Commissioner for Patents  
Attn: Refund Section Accounting  
Division  
Office of Finance  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a request for a refund with respect to the charge to Deposit Account No. 14-0620 shown on the statement dated July 2004 for the above-referenced patent application. A copy of the monthly statement in which the error referred to occurs, accompanies this request.

The fees charged for which a refund is requested is a two-month extension of time in the amount of \$420.00, as posted on the monthly statement of account under SEQ. 1.

It is unclear why a two-month extension of time was charged to the deposit account on July 29, 2004. Please refer to the table of

**BEST AVAILABLE COPY**

the file history dating back to August of 2002 below:

<u>ACTION RECEIVED</u>	<u>RESPONSE</u>
Office Letter mailed 05/12/04 setting one month or thirty days to respond	Response filed 06/10/04
Nonfinal Office Action mailed 01/22/04	Response filed 04/22/04
Interview Summary mailed 11/07/03 (Response deadline based upon Final Office Action mailed 05/12/03)	RCE filed 11/12/04 with three-month extension of time; Check No. 23951 for \$1,720.00 (\$770.00 for RCE and \$950.00 for three-month extension)
Advisory Action mailed 07/16/03	Response mailed 10/13/03
Final Office Action mailed 05/12/03	Final Amendment mailed 07/01/03
Office Letter mailed 01/22/03 setting one month or thirty days to respond	Response filed 02/24/03 (02/22/03 being a Saturday)
Nonfinal Office Action mailed 08/14/02	Response mailed 11/13/02

All required extensions of time have been paid. Please find enclosed with this Request a photocopy of Our Check No. 23951 in the amount of \$1,720.00 for the RCE filing fee and three-month extension of time for filing of the RCE. If anything is missing from the examiner's file, please advise us immediately and we will provide photocopies from our file.

Please credit our Deposit Account No. 14-0620 in the amount of \$420.00.

Date:

8/18/04

Respectfully Submitted,

*Lorita M. Bosley*

Lorita M. Bosley, Accounting Division  
NAWROCKI, ROONEY & SIVERTSON, P.A.  
Suite 401, Broadway Place East  
3433 Broadway Street Northeast  
Minneapolis, MN 55413  
Telephone: (612) 331-1464  
Facsimile: (612) 331-2239

**BEST AVAILABLE COPY**

## Deposit Account Statement

Page 1 of 1



United States  
Patent and  
Trademark Office

BEST AVAILABLE COPY

Deposit Account Statement

Requested Statement Month:

July 2004

Deposit Account Number:

140620

Name:

NAWROCKI, ROONEY &amp; SVERTSON

Attention:

Address:

BROADWAY PLACE EAST SUITE 401  
MINNEAPOLIS

City:

State:

MN

Zip:

55413

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/01	60	78350049	55019/106/101	8507	\$15.00	\$4,741.40
07/06	1	10299358	95841102261	1806	\$180.00	\$4,561.40
07/14	143	09423014	19462.10110	9204	-\$210.00	\$4,771.40
07/21	308	10435813	19418/102/102	8007	\$40.00	\$4,731.40
07/28	1	09189385	33012/253/10	1252	\$420.00	\$4,311.40
START SUM OF						
BALANCE CHARGES						
\$4,758.40 \$655.00						
SUM OF						
REPLENISH						
\$210.00						
END						
BALANCE						
					\$4,311.40	

*cert. copy*  
*1 ps*  
*cert. copies*  
*2 mo. est.*

Need Help? | [Return to USPTO Home Page](#) | [Return to Finance Online Shopping](#)

BEST AVAILABLE COPY

RECEIVED 11/19/03  
DEPT OF JUSTICE  
0910-0001-9

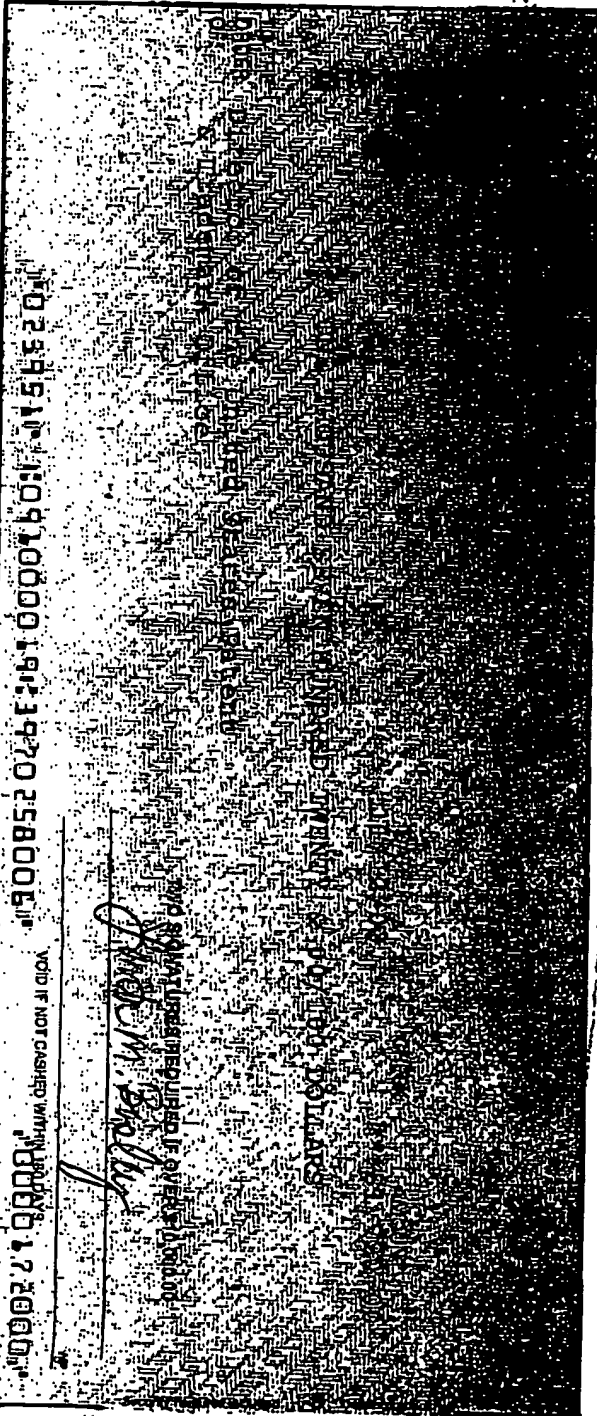
BANK OF AMERICA NA  
6500337 1/18/04

RECEIVED 11/19/03  
DEPT OF JUSTICE  
0910-0001-9

NOV 17 03

0265 6669

PATENT AND TRADEMARK OFFICE  
12-16-001  
11-14-003  
FOR CREDIT TO THE  
U.S. TREASURY



02395111 1:09 10000 191:19 7025800E

VOID IF NOT CASHED WITHIN 180 DAYS  
00000172000

**BEST AVAILABLE COPY**

**NAWROCKI, ROONEY & SIVERTSON, P.A.**

WAYNE A. SIVERTSON  
JOHN L. ROONEY  
LAWRENCE M. NAWROCKI  
DONALD A. JACOBSON  
RICHARD C. STEMPOVSKI, JR.

ATTORNEYS AT LAW  
PATENT TRADEMARK AND COPYRIGHT LAW  
SUITE 401, BROADWAY PLACE EAST  
3433 BROADWAY STREET NORTHEAST  
MINNEAPOLIS, MINNESOTA 55413

EDWARD L. SCHWARZ  
Of Counsel

LEW SCHWARTZ  
Retired

E-MAIL: [lp@nrsllaw.com](mailto:lp@nrsllaw.com)  
TELEPHONE: (612) 331-1464  
FACSIMILE: (612) 331-2239  
OR (612) 378-7569

**FAX TRANSMITTAL COVER SHEET**

TO: ATTN: REFUNDS  
COMPANY: UNITED STATES PATENT AND TRADEMARK OFFICE  
FAX NO.: (703) 308-5077  
FROM: LORITA M. BOSLEY, ACCOUNTING DIVISION  
RE: DEPOSIT ACCOUNT No. 14-0620; SER. No. 09/189,365  
DATE: AUGUST 18, 2004 TIME SENT:  
NO. PAGES: 6 SENT BY: CAROLYN

Please notify us at 612-331-1464 if all pages are not received.

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS ATTORNEY-PRIVILEGED AND CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS LISTED ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

**COMMENTS:**